

# Open access outputs sharing policy

At Barts Charity, we aim to enable life-changing improvements to health for the people of East London. We are a charity with its roots in East London, dedicated to supporting improvements to healthcare and transformative research for local health benefit. We work in partnership with Barts Health NHS Trust, and local Higher Education Institutes (Queen Mary, University London, and City St George's, University of London) who can help us achieve our goals and maximise our impact.

Our support enables our partners to carry out a range of projects across a broad range of research and healthcare activities which generate a wide variety of outputs and we expect our Grant Holders to manage these outputs in whatever way will achieve the greatest health and public benefit. This may involve either:

- making outputs freely available to others (open access), or
- using intellectual property (IP) as a tool to protect and commercialise them.

Outputs which can achieve the greatest health and public benefit by using intellectual property (IP) as a tool to protect and commercialise them are covered by our <u>IP Policy</u>. Requirements set by the IP policy take precedence over those found here.

There are many outputs, whether new knowledge, improvements or changes to practice, that are not suitable for IP protection. We consider that the best way for these outputs to achieve their full potential is for them to be shared openly and freely so that they may be replicated, built upon or applied in other settings ("shareable outputs").

This policy applies to all shareable outputs published or shared after **1 September 2025**.

### Definition of shareable outputs

This policy applies to all tangible products of activities funded by Barts Charity which can achieve the greatest health and public benefit by being shared freely, which includes but is not limited to:

- peer-reviewed, primary research articles (referred to as scholarly articles) and preprints
- project reports, policy guidelines, strategy documents, impact reports
- patient information documentation (such as leaflets, animations and flyers)
- training, educational and course materials
- databases and datasets
- software and applications
- new protocols or materials (such as antibodies, cell lines or animal models)



• poster presentations, presentations at conferences.

### Requirements for all shareable outputs

Plans for output sharing must be developed at the application stage for review by Barts Charity and its Expert Reviewers, as well as kept under review for the duration of any awarded grant.

All shareable outputs must be published in an appropriate format and in a timely manner. Any delays should be limited to a reasonable time period (typically three months, maximum six months) and only to:

- allow for filing of new IP
- remove information that is genuinely confidential to the commercial party (see our <u>IP policy</u> for more detail).

#### Acknowledging Barts Charity's support

All published outputs, including scholarly articles, oral or written reports, posters, presentations and information posted on websites that arise from Barts Charity funded activities must acknowledge the Charity's contribution to the work (in the format "This work was supported by Barts Charity (G-reference or M-reference)".

#### Licensing

All sharable outputs from activities related to Barts Charity funding must be published under a <u>CC BY 4.0</u> licence. This licence allows the outputs to be shared freely and adapted for any purpose provided that appropriate credit is given to the original authors and any changes are clearly indicated.

We will consider requests to utilise other CC BY licenses by exception. Please contact the Funding and Impact team to make a request **before** you submit a manuscript for publication.

### Scholarly articles

Grant holders must ensure that any scholarly articles (primary, peer-reviewed research papers) that were supported (whole or in part) by Barts Charity are made freely accessible immediately upon publication. This requirement can be met by:



- a) publishing your article in an open access journal where Version of Record is made freely available to the public immediately and is deposited into EuropePMC
- b) publishing your article in a subscription journal and making the Author Accepted Manuscript (or Version of Record where the publisher allows) immediately on publication on Europe PMC
- c) publish in a journal that has agreed a transformative agreement with your Administering Organisation and ensuring that the Version of Record is deposited into EuropePMC.

All scholarly articles must include data availability statements, and we encourage authors to make these data publicly available where possible.

### All other outputs

We acknowledge that grant activities will generate a wide variety of resources, documents and materials that have the potential to benefit the community. We strongly encourage Grant Holders to share/disseminate these outputs as widely as possible, following community/sector standards where possible.

Grant Holders should also consider:

- whether and how best to use pre-print repositories (including to share negative or null results) and clinical trial registries
- how to signpost to any resources generated by grant activities (e.g. data availability statements in publications)
- how to securely store the resources to maximise their long term value.

### Support for the costs of outputs sharing

Grant Holders can cover fair and reasonable open access publishing costs (Article Processing Charges – APCs) by:

- Accessing the block grant provided to the Administering Organisation on an annual basis
- (If the block grant has been exhausted) Utilising underspend on their grant.

Applicants to our grant schemes can request:

- A contribution towards the cost of attending conferences to present outputs from Barts Charity funded activities. See our Cost Policy [LINK] for more details.
- Any other specific costs associated with sharing outputs (beyond those covered above). These requests are considered on a case-by-case basis during the application process.



## Monitoring compliance

We will monitor compliance using our standard Grant monitoring procedures and processes and reserve the right to impose sanctions on Grant Holders who do not comply with this policy, including withholding payment of outstanding invoices and barring individuals from applying for further funding.